

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview

1. **Date of Submission:** 2011-01-19

2. **Agency:** 011

3. **Bureau:** 10

4. **Name of this Investment:** FBI Combined DNA Index System (CODIS)

5. **Unique Project (Investment) Identifier (UPI):** 011-10-01-03-01-2501-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2001 or earlier

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Combined DNA Index System (CODIS) fully supports the FBI mission to provide criminal investigation and surveillance while supporting the Lab Division's strategic goals to provide collection and examination capabilities. CODIS is an automated DNA information processing and telecommunications system. The National DNA Index System (NDIS), State DNA Index System (SDIS), and Local DNA Index System (LDIS) currently support over 255 domestic and international laboratories. Due to legislation (e.g. Justice for All Act of 2004, the DNA Fingerprint Act of 2005, others), the growth of CODIS since 1990 has increased significantly, in terms of profiles housed in the system, in order to support the mission. Originally designed to accommodate persons convicted of a federal offense, CODIS is required to allow for the following additional profiles: Persons arrested, facing charges or convicted of a federal felony, federal crimes of terrorism, aggravated sexual abuse, sexual abuse, sexual abuse of a minor, abusive sexual contact, sexual abuse resulting in death, or any crime of violence, as well as from non-U.S. persons detained under the authority of the U.S. The FBI will deploy CODIS 7.0 in 2011-12, the single baseline incorporating all 7.0 requirements (Genome and Helix iterations) and 6.1 and 5.7x baseline requirements. 7.0 will provide the user community the ability to: Conduct incremental searching and uploads; efficient processing of large databases up to 50 million specimens; incorporate all PR M functionality (International data sharing requirements); support additional population statistical calculations as well as calculations on single source samples; batch and remote searching and additional search configurations; bulk update specimen management features; match counting and match ID/rank ID display capabilities; partial profile indicator; Interpol export format; specimen audit trail; and improved configurations. In the future, CODIS will be required to accommodate: software changes due to legislative mandates; Rapid DNA interoperability; automated hit-counting; advances in Forensic DNA by expanding CODIS capabilities in terms of DNA match technologies (e.g. electropherogram, base composition, full mtDNA sequence, mini-STRs, SNPs), and continue to support International data exchange standards. Failure to provide the CODIS Unit with development funding beginning in FY12 may preclude any new development and hinder support of FBI strategic initiative.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the**

investment including links to GAO and IG reports.

Title	Link
NONE	

9.

a. Provide the date of the Agency's Executive/Investment Committee approval of this investment.

2010-05-13

b. Provide the date of the most recent or planned approved project charter. 2011-01-03

10. Contact information?

a. Program/Project Manager Name: \*

Phone Number: \*

Email: \*

b. Business Function Owner Name (i.e. Executive Agent or Investment Owner): Louis E. Grever (EAD)

Phone Number: \*

Email: \*

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding  
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

**Table I.B.1: Summary of Funding**  
**(In millions of dollars)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 12

3. Insert the number of years covered in the column “BY+4 and beyond”: \*

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	1549	<a href="#">DJFA8G804979</a>	GS10F0189T		*	*	\$5.2	Firm Fixed Price	N	2008-07-17	2008-09-30	N	services delta project
Awarded	1549	<a href="#">DJFA8G0805608</a>	GS35F4953G		*	*	\$11.6	Labor Hours	Y	2008-09-15	2009-12-30	N	pmss tor#1 codis
Awarded		HHSN2639999000251			*	*	\$10.7	Cost Plus Fixed Fee	N	2005-09-28	2010-09-27	Competed under SAP	O&M support to legacy CODIS
Awarded	1549	DJFJFBI06180	DJFJFBI06180		*	*	\$0.9	Time and Materials	N	2008-10-15	2011-10-15	Competed under SAP	Independent Verification and Validation on CODIS
Awarded	1549	DJFJFBI06201	DJFJFBI06201		*	*	\$55.0	Cost Plus Incentive	Y	2006-09-28	2011-09-28	Full and Open Competition	Next Generation CODIS Development , O&M contract
Awarded	1549	<a href="#">DJFA0D005925</a>	GS00Q09BGD0048		*	*	\$33.6	Firm Fixed Price	N	2010-08-20	2011-08-19	Y	hardware/software/operations/travel

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	1549	<a href="#">DJFA0G005962</a>	GS35F4461G		*	*	\$4.3	Firm Fixed Price	N	2010-09-10	2011-09-30	Y	o&M support

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow \*
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 \*
- c. Was the Acquisition Plan approved in accordance with agency requirements \*
- d. If "yes," enter the date of approval? \*
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? \*
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? \*
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

\*

## Part II: IT Capital Investments

### Section A: General

1.
  - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
  - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. DOJ will include cloud computing (CC) capabilities in its infrastructure when they are mature enough to support DOJ missions and security requirements. Its CC strategy will define a service approach for implementing CC capabilities across DOJ.
3. Provide the date of the most recent or planned Quality Assurance Plan 2010-04-21
4.
  - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
  - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2011-04-30
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-09-14

## Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 98 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$2.0	\$2.0	1997-09-28	1997-09-28	1998-09-27	1998-09-27	100.00%	100.00%
FY 98 O&M for CODIS	SS	*	\$2.4	\$2.4	1997-09-28	1997-09-28	1998-09-27	1998-09-27	100.00%	100.00%
FY 99 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$2.1	\$2.1	1998-09-28	1998-09-28	1999-09-27	1999-09-27	100.00%	100.00%
FY 99 O&M for CODIS	SS	*	\$2.4	\$2.4	1998-09-28	1998-09-28	1999-09-27	1999-09-27	100.00%	100.00%
FY 00 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$2.0	\$2.0	1999-09-28	1999-09-28	2000-09-27	2000-09-27	100.00%	100.00%
FY 00 O&M for CODIS	SS	*	\$2.4	\$2.4	1999-09-28	1999-09-28	2000-09-27	2000-09-27	100.00%	100.00%
FY 01 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$2.0	\$2.0	2000-09-28	2000-09-28	2001-09-27	2001-09-27	100.00%	100.00%
Fy 01 O&M for CODIS	SS	*	\$2.4	\$2.4	2000-09-28	2000-09-28	2001-09-27	2001-09-27	100.00%	100.00%
FY 02 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$2.0	\$2.0	2001-09-28	2001-09-28	2002-09-27	2002-09-27	100.00%	100.00%
FY 02 O&M for	SS	*	\$2.4	\$2.4	2001-09-28	2001-09-28	2002-09-27	2002-09-27	100.00%	100.00%



Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
CODIS										
FY 03 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$4.0	\$4.0	2002-09-28	2002-09-28	2003-09-27	2003-09-27	100.00%	100.00%
FY 03 O&M for CODIS	SS	*	\$2.4	\$2.4	2002-09-28	2002-09-28	2003-09-27	2003-09-27	100.00%	100.00%
FY 04 Development Activity on Legacy CODIS 5.7.x/CODIS Unit	DME	*	\$2.0	\$2.0	2003-09-28	2003-09-28	2004-09-27	2004-09-27	100.00%	100.00%
FY 04 O&M for CODIS	SS	*	\$2.4	\$2.4	2003-09-28	2003-09-28	2004-09-27	2004-09-27	100.00%	100.00%
FY 05 O&M for CODIS	SS	*	\$4.0	\$4.0	2004-09-28	2004-09-28	2005-09-27	2005-09-27	100.00%	100.00%
FY 06 CODIS Development	DME	*	\$2.0	\$2.0	2005-05-11	2005-05-11	2006-09-28	2006-09-28	100.00%	100.00%
FY 05 Planning - Procurement Activities for further development of 5.7.4	DME	*	\$0.1	\$0.1	2005-07-19	2005-07-19	2005-09-27	2005-09-27	100.00%	100.00%
FY 05 Operations of CODIS	SS	*	\$3.7	\$3.7	2005-09-28	2005-09-28	2006-09-27	2006-09-27	100.00%	100.00%
FY 06 CODIS Operations	SS	*	\$3.0	\$3.0	2005-10-01	2005-10-01	2006-09-28	2006-09-28	100.00%	100.00%
FY 06 Planning - Procurement Activities/Award for further development of 6.0 Next Generation CODIS	DME	*	\$0.1	\$0.1	2005-11-10	2005-11-10	2006-09-27	2006-09-27	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY08 CODIS 6.0 Increment Test/Delivery MP/DVI	DME	*	\$4.3	\$4.3	2006-03-15	2006-03-15	2008-09-08	2008-09-08	100.00%	100.00%
FY07 Legacy CODIS O&M Operations of CODIS	SS	*	\$1.2	\$1.2	2006-09-28	2006-09-28	2007-09-29	2007-09-29	100.00%	100.00%
FY07 CODIS 6.0 Increment Planning - Missing Persons/DVI	DME	*	\$3.2	\$3.2	2006-10-02	2006-10-02	2006-11-30	2006-12-15	100.00%	100.00%
FY07 FTE/PMO Level of Effort Program Support (LOE)	DME	*	\$1.5	\$1.5	2006-11-01	2006-11-01	2007-09-30	2007-09-30	100.00%	100.00%
FY07 CODIS 6.0 Increment Design/Development MP/DVI	DME	*	\$4.5	\$4.5	2006-11-02	2006-11-02	2008-02-22	2008-02-22	100.00%	100.00%
FY08 CODIS 6.x O&M Operations of CODIS 6.x (9-2-07)	SS	*	\$2.0	\$1.2	2007-09-02	2007-09-02	2007-09-02	2008-09-10	100.00%	100.00%
FY08 FTE/PMO Level of Effort Program Support	DME	*	\$2.5	\$0.3	2007-09-10	2008-09-27	2009-09-26	2009-09-26	100.00%	100.00%
FY08 IV&V Independent Verification and Validation of CODIS	DME	*	\$0.3	\$0.3	2007-09-10	2008-09-27	2009-09-26	2009-09-26	100.00%	100.00%
FY08 Legacy CODIS O&M Operations of CODIS	SS	*	\$3.6	\$2.0	2007-09-28	2008-09-27	2009-09-26	2009-09-26	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY09 FTE/PMO LOE Management of Development contracts	DME	*	\$4.2	\$4.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 FTE/PMO LOE Management of steady state contracts	SS	*	\$1.2	\$1.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 Legacy CODIS O&M Operations of CODIS	SS	*	\$2.5	\$2.5	2008-09-28	2008-09-28	2009-09-26	2009-09-26	100.00%	100.00%
FY09 CODIS 6.1 Maintenance delivery	SS	*	\$2.7	\$2.9	2008-09-28	2008-09-28	2009-06-05	2009-09-01	100.00%	100.00%
FY09 7.0 Helix Planning- Helix Iteration	DME	*	\$0.7	\$0.7	2008-09-28	2008-09-28	2009-02-10	2009-02-10	100.00%	100.00%
FY09 7.0 Helix Design/Development Helix	DME	*	\$4.1	\$3.9	2008-12-17	2008-12-17	2009-09-30	2009-09-30	100.00%	100.00%
FY10 CODIS Helix Test/Delivery Helix	DME	*	\$4.3	\$5.5	2009-09-28	2009-09-28	2010-05-18	2010-05-28	100.00%	100.00%
FY09 IV&V Independent Verification and Validation of CODIS	DME	*	\$0.3	\$0.3	2008-09-10	2008-09-10	2009-09-30	2009-09-30	100.00%	100.00%
FY10 IV&V Independent Verification and Validation of CODIS	DME	*	\$0.3	\$0.2	2009-09-10	2009-09-10	2010-09-27	2010-09-27	100.00%	100.00%
FY10 FTE/PMO	DME	*	\$0.6	\$0.6	2009-10-01	2009-09-28	2010-09-30	2010-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
LOE Management of Development contracts										
FY10 FTE/PMO LOE Management of steady state contracts	SS	*	\$1.9	\$1.9	2009-10-01	2009-09-28	2010-09-30	2010-09-30	100.00%	100.00%
FY10 Legacy CODIS O&M Operations of CODIS	SS	*	\$2.3	\$2.0	2009-09-20	2009-09-28	2010-09-19	2010-09-19	100.00%	100.00%
FY10 CODIS Genome Design/Development - Genome Iteration	DME	*	\$8.6	\$7.9	2009-09-28	2009-09-28	2010-09-27	2010-09-27	100.00%	100.00%
FY10 Acquisition Planning (FOD, Ops) PMO/FTE contracts procurement planning activities	DME	*	\$3.7	\$3.9	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
FY10 CODIS O&M Operations of CODIS	SS	*	\$4.6	\$3.9	2009-09-28	2009-09-28	2010-09-27	2010-09-27	100.00%	100.00%
FY11 CODIS Conduct of Legacy O&M	SS	*	\$2.3	\$1.5	2010-09-20	2010-09-20	2011-09-19		69.51%	66.62%
FY11 Delivery of 7.0 Genome	DME	*	\$12.0	\$8.5	2010-09-28	2010-09-28	2011-09-27		69.92%	70.39%
FY11 Conduct of IV&V Independent Verification and Validation of CODIS	DME	*	\$0.3	\$0.2	2010-09-28	2010-09-28	2011-09-27		67.31%	79.70%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY11 CODIS Conduct of Operations	SS	*	\$5.1	\$2.4	2010-10-01	2010-10-01	2011-09-30		66.48%	46.26%
FY11 FTE LOE DME	DME	*	\$0.6	\$0.4	2010-10-01	2010-10-01	2011-09-30		66.48%	66.67%
FY11 FTE LOE Operations	SS	*	\$1.9	\$1.3	2010-10-01	2010-10-01	2011-09-30		66.48%	66.67%
FY11 PMO LOE Acquisition/Development	DME	*	\$2.0	\$1.3	2010-10-01	2010-10-01	2011-09-30		66.48%	63.61%
FY11 PMO LOE DME Planning	DME	*	\$0.6	\$0.1	2010-10-01	2010-10-01	2011-09-30		66.48%	11.40%
FY11 PMO LOE Support of Steady State	SS	*	\$1.7	\$1.4	2010-10-01	2010-10-01	2011-09-30		66.48%	83.23%
FY12 CODIS Legacy O&M	SS	*	\$2.0	\$0.0	2011-09-20		2012-09-19		0.00%	0.00%
FY12 CODIS Operations	SS	*	\$5.4	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
FY12 FTE LOE Operations	SS	*	\$2.5	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
FY12 PMO LOE support of Steady State	SS	*	\$3.3	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
FY13 CODIS Operations	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY13 FTE LOE Operations	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY13 PMO LOE Support of Steady State	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY14 CODIS Operations	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY14 FTE LOE Operations	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY14 PMO LOE Support of Steady State	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY15 CODIS Operations	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY15 FTE LOE Operations	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY15 PMO LOE Support of Steady State	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY16 CODIS Operations	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY16 FTE LOE Operations	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY16 PMO LOE Support of Steady State	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY11 CODIS Software Maintenance	SS	*	\$0.3	\$0.0	2011-08-01		2011-09-27		0.00%	0.00%
FY11 CODIS Southwest Border Initiative	SS	*	\$0.3	\$0.0	2011-08-01		2011-09-30		0.00%	0.00%
FY12 CODIS Software Maintenance	SS	*	\$2.8	\$0.0	2011-09-28		2012-09-27		0.00%	0.00%
FY12 CODIS Southwest Border Initiative	SS	*	\$3.7	\$0.0	2011-10-03		2012-07-31		0.00%	0.00%

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify

the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

2011-06-15

**4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?**

no

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*



Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

## Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Processes and Activities	Productivity	average weekly NDIS search times	annual	hours	maintain	17	2010-09-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	17		Not Due	2011-02-15
Processes and Activities	Productivity	average weekly NDIS search times	annual	hours	maintain	17	2010-09-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	17		Not Due	2011-02-15
Processes and Activities	Productivity	average weekly NDIS search times	2013	17		Not Due	2011-02-17
			annual	hours	maintain	17	2010-09-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
Processes and Activities	Productivity	average weekly NDIS search times	2014	17		Not Due	2011-02-15
			2015	17		Not Due	2011-02-15
			2016	17		Not Due	2011-02-15
Customer Results	Customer Satisfaction	help desk survey results	annual	ratings	increase	3	2009-09-10

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	within range	3	Met	2011-02-18
Customer Results	Customer Satisfaction	help desk survey results	annual	ratings	maintain	3	2010-11-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	within range		Not Due	2011-03-08
			2012	within range		Not Due	2011-03-08
			2013	within range		Not Due	2011-03-08
			2014	within range		Not Due	2011-03-08
			2015	within range		Not Due	2011-03-08
			2016	within range		Not Due	2011-03-08
Processes and Activities	Productivity	Improved average weekly NDIS search times	annual	hours	decrease	18	2009-09-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	17	17	Met	2011-02-15
Mission and Business Results	Criminal Investigation and Surveillance	Increase number of investigations aided	annual	investigations aided	increase	8900	2005-08-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	10% increase	10892	Met	2011-02-25

Mission and Business Results	Criminal Investigation and Surveillance	Increase number of investigations aided	annual	investigations aided	increase	10100	2006-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	10% increase	16223	Met	2011-02-25
Mission and Business Results	Criminal Investigation and Surveillance	Increase number of investigations aided	annual	investigations aided	increase	18000	2007-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	10% increase	20568	Met	2011-02-25
Mission and Business Results	Criminal Investigation and Surveillance	Increase number of investigations aided	annual	investigations aided	increase	16000	2008-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	10% increase	20792	Met	2011-02-15
Technology	Internal Data Sharing	Increase number of Missing Persons profiles housed in NDIS	annual	specimens	increase	200	2005-08-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	10% increase	250	Met	2011-02-25
Technology	Internal Data Sharing	Increase number of Missing Persons profiles housed in NDIS	annual	specimens	increase	250	2006-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

Technology	Internal Data Sharing	Increase number of Missing Persons profiles housed in NDIS	2007	10% increase	386	Met	2011-02-25
			annual	specimens	increase	300	2007-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	10% increase	497	Met	2011-02-25
Technology	Reliability	Increase number of Missing Persons profiles housed in NDIS	annual	specimens	increase	500	2008-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	10% increase	652	Met	2011-02-25
Mission and Business Results	Criminal Investigation and Surveillance	Increase the number of FBI CODIS Offendor hits (in-state)	annual	hits	increase	7000	2005-08-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	Increase by 10%	8087	Met	2011-02-25
Mission and Business Results	Criminal Investigation and Surveillance	Increase the number of FBI CODIS Offendor hits (in-state)	annual	hits	increase	8000	2006-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	10% increase	12329	Met	2011-02-25

Mission and Business Results	Criminal Investigation and Surveillance	Increase the number of FBI CODIS Offendor hits (in-state)	annual	hits	increase	12329	2006-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	10% increase	16941	Met	2011-02-15
Mission and Business Results	Criminal Investigation and Surveillance	Increase the number of FBI CODIS Offendor hits (in-state)	annual	hits	increase	10000	2007-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	10% increase	16774	Met	2011-02-15
Mission and Business Results	Criminal Investigation and Surveillance	Increase the number of investigations aided	annual	hits	increase	18000	2009-09-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	20% increase	21590	Met	2011-02-15
Mission and Business Results	Criminal Investigation and Surveillance	Investigations aided	annual	hits	maintain	21590	2010-11-04
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	0% increase		Not Due	2011-03-08
			2012	0% increase		Not Due	2011-03-08
			2013	0% increase		Not Due	2011-03-08
			2014	0% increase		Not Due	2011-03-08

Technology	Reliability	Uptime of system performance	2015	0% increase		Not Due	2011-03-08
			2016	0% increase		Not Due	2011-03-08
			annual	hours	maintain	0.98	2010-09-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	98%		Not Due	2011-03-08
			2012	98%		Not Due	2011-03-08
			2013	98%		Not Due	2011-03-08
			2014	98%		Not Due	2011-03-08
			2015	98%		Not Due	2011-03-08
			2016	98%		Not Due	2011-03-08
Technology	Reliability	Uptime of system performance	annual	hours	increase	0.95	2005-08-10
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	96%	0.96	Met	2010-10-28
			annual	hours	increase	0.95	2006-08-15
Technology	Reliability	Uptime of system performance	Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	97%	0.97	Met	2010-10-28

Technology	Reliability	Uptime of system performance	annual	hours	increase	0.96	2007-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	97%	0.97	Met	2010-10-28
Technology	Reliability	Uptime of system performance	annual	hours	increase	0.97	2008-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	97%	0.97	Met	2010-10-28
			2010	98%	0.98	Met	2010-10-28

\* - Indicates data is redacted.